

ANDREW M. CUOMO Governor HOWARD A. ZUCKER, M.D., J.D. Commissioner

SALLY DRESLIN, M.S., R.N. Executive Deputy Commissioner

January 15, 2016

CERTIFIED MAIL-RETURN RECEIPT REQUESTED

Mengjia Zhao, M.D.

Dear Dr. Zhao:

Re: License No. 200239

Enclosed is a copy of the New York State Board for Professional Medical Conduct (BPMC) Order No. 16-010. This order and any penalty provided therein goes into effect January 22, 2016.

If the penalty imposed by this Order is a surrender, revocation or suspension, you are required to deliver your license and registration within five (5) days of receipt of this Order to: c/o Physician Monitoring Unit, NYS DOH - OPMC, Riverview Center, Suite 355, 150 Broadway, Albany, NY 12204-2719.

If your license is framed, please remove it from the frame and <u>only send the parchment</u> <u>paper on which your name is printed</u>. Our office is unable to store framed licenses.

If the document(s) are lost, misplaced or destroyed, you are required to submit to this office an affidavit to that effect. Please complete and sign the affidavit before a notary public and return it to the Office of Professional Medical Conduct.

Please direct any questions to: NYS DOH - OPMC, Riverview Center, Suite 355, 150 Broadway, Albany, NY 12204-2719, telephone # (518)402-0855.

Sincerely,

Katherine A, Hawkins, M.D., J.D. Executive Secretary

Board for Professional Medical Conduct

cc: Michael S. Kelton, Esq.
Abrams Fensterman
630 Third Avenue, Fifth Floor
New York, New York 10017

Enclosure

NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONOUCT

# IN THE MATTER OF MENGJIA ZHAO, M.D.

SURRENOER

Upon the application of (Respondent) MENGJIA ZHAO, M.D. to Surrender his Ilcense as a physician in the State of New York, which is made a part of this Surrender Order, it is

OROEREO, that the Surrender, and its terms, are adopted and it is further ORDERED, that Respondent's name be stricken from the roster of physicians in the State of New York; it is further

ORDERED, that this Order shall be effective upon issuance by the Board, either

- by mailing of a copy of this Surrender Order, either by first class mail to
   Respondent at the address in the attached Surrender of License application
   or by certified mail to Respondent's attorney, OR
- upon facsimile transmission to Respondent or Respondent's attorney.
   Whichever is first.

SO ORDERED.

DATE: 1/14/2016

ARTHUR S. HENGERER, M.D. Chair

State Board for Professional Medical Conduct

## NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

# IN THE MATTER OF MENGJIA ZHAO, M.D.

SURRENDER OF LICENSE AND ORDER

MENGJIA ZHAO, M.D., represents that ell of the following statements are true: That on or about July 24, 1995, I was licensed to practice es a physician in the State of New York and Issued License No. 200239 by the New York State Education Department.

My current address is:

I understand that the New York Stete Board for Professional Medical Conduct (Board) has charged me with one or more specifications of professional misconduct, as set forth in a Statement of Cherges, marked as Exhibit "A", which is attached to and part of this Surrender of License.

I am epplying to the State Board for Professional Medical Conduct for permission to surrender my Ilcense as e physician in the State of New York on the grounds that I agree not to contest the allegations in the First Specification, Criminal Conviction, in full satisfection of the cherges against me.

lesk the Board to accept my Surrender of License, and I agree to be bound by ell of the terms set forth in attached Exhibit "B".

I understand thet, if the Board does not accept my Surrender of License, none of its terms shall bind me or constitute an admission of any of the acts of misconduct elleged;

this application shall not be used against me in any way and shall be kept in strict confidence; end the Boerd's denial shall be without prejudice to the pending disciplinary proceeding end the Board's final determination pursuant to the Public Health Law.

I egree that, if the Board accepts my Surrender of License, the Chair of the Board shall issue a Surrender Order, in eccordance with its terms. I agree that this Order shall take effect upon its Issuance by the Board, either by malling of a copy of the Surrender Order by first class mall to me at the address in this Surrender of License, or to my attomey by certified mall, or upon facsimile transmission to me or my attorney, whichever is first. The Surrender Order, this agreement, and all attached exhibits shall be public documents, with only patient identities, if any, redacted. As public documents, they may be posted on the Department's website(s). OPMC shall report this action to the National Practitioner Data Bank, the Federation of State Medical Boards, and any other entities that the Director of OPMC shall deem appropriate.

I ask the Board to accept this Surrender of License, which I submit of my own free will and not under duress, compulsion or restraint. In consideration of the value to me of the Board's acceptance of this Surrender of License, allowing me to resolve this matter without the various risks and burdens of a hearing on the merits, I knowingly waive my right to contest the Surrender Order for which I apply, whether administratively or judicially, and I agree to be bound by the Surrender Order.

I understand and agree that the attorney for the Department, the Director of the Office of Professional Medical Conduct and the Chair of the State Board for Professional Medical Conduct each retain complete discretion either to enter into the proposed

agreement and Order, based upon my application, or to decline to do so. I further understand and agree that no prior or separate written or oral communication can limit that discretion.

DATE 12/28/15

MENGJIA ZHAO, M.D. RESPONDENT The undersigned agree to Respondent's attached Surrender of License and Order and to its proposed penalty, terms and conditions.

DATE: //////

MICHAEL KELTON, ESQ.
Attorney for Respondent

MARCIA E. KAPLAN
Associate Counsel
Bureau of Professional Medical Conduct

DATE: ///3/16

PATE: ///3/16

NEW YORK STATE DEPARTMENT OF HEALTH STATE BDARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER

STATEMENT

OF

CHARGES

OF

MENGJIA ZHAO, M.D.

MENGJIA ZHAO, M.D., the Respondent, was authorized to practice medicine In New York State on or about July 24, 1995, by the Issuance of license number 200239 by the New York State Education Department.

#### FACTUAL ALLEGATIONS

- A. On or about June 24, 2011, in New York, N.Y., Respondent, a physician, and a practitioner as defined in N.Y. Public Health Law § 3302, knowingly and unlawfully sold a prescription for a controlled substance, alprazolam, while acting other than in good faith in the course of Respondent's professional practice.
  - On or about November 16, 2015, Respondent pled gulity to one count of Criminal Sale of a Prescription for a Controlled Substance, a crime in violation of N.Y. Penal Law § 220.65, based upon the facts set forth in paragraph A above.

# SPECIFICATION OF CHARGES FIRST SPECIFICATION

## CRIMINAL CONVICTION (N.Y.S.)

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(9)(a)(i) by having been convicted of committing en ect constituting a crime under New York state law as alleged in the facts of the following:

Paragraph A and A.1.

### SECOND SPECIFICATION

### FRAUDULENT PRACTICE

Respondent is charged with committing professional misconduct as defined by N.Y. Educ. Law § 6530(2) by practicing the profession of medicine fraudulently as alleged in the facts of the following:

2. Paragraph A.

DATE: November 2, 2015 New York, New York

ROY NEMERSON
Deputy Counsel
Bureau of Professional Medical Conduct

#### EXHIBIT "B"

# Regultements for Closing a Medical Practice Following a Revocation, Surrender, Limitation or Suspension of a Medical License

- Licensee shell Immediately cease and desist from engaging in the practice of medicine in New York State, or under Licensee's New York license, in eccordance with the terms of the Order. In addition, Licensee shall refrain from providing an opinion as to professional practice or its application and from representing that Licensee is eligible to practice medicine.
- Within 5 days of the Order's effective date, Licensee shall deliver Licensee's original license to practice medicine in New York State and current blennial registration to the Office of Professional Medical Conduct (OPMC) at Riverview Center, 150 Broadway, Suite 355, Albany, New York 12204-2719.
- 3. Within 15 days of the Order's effective date, Licensee shall notify all patients of the cessetion or limitetion of Licensee's medical practice, end shall refer all patients to another licensed practicing physicien for continued care, as appropriate. Licensee shall notify, in writing, each health cere plan with which the Licensee contracts or is employed, and each hospital where Licensee has privileges, that Licensee has ceased medical practice. Within 45 days of the Order's effective date, Licensee shall provide OPMC with written documentation that all patients and hospitals have been notified of the cessation of Licensee's medical practice.
- Licensee shall make errangements for the transfer and maintenance of ell patient medical records. Within 30 days of the Order's effective date, Licensee shall notify OPMC of these arrangements, including the name, address, and telephone number of an appropriate and acceptable contact person who shall have access to these records. Originel records shall be retained for at least 6 yeers after the last date of service rendered to a patient or, in the case of a minor, for at least 6 years after the last date of service or 3 years after the patient reaches the age of majority, whichever time period is longer. Records shall be maintained in a safe and secure place that is reasonably accessible to former patients. The arrangements shell include provisions to ensure that the information in the record is kept confidential and is available only to euthorized persons. When a patient or e patient's representative requests a copy of the patient's medical record, or requests that the original medicel record be sent to another health care provider, a copy of the record shall be promptly provided or forwarded at a reasonable cost to the patient (not to exceed 75 cents per page.) Radiographic, sonographic and similar materials shall be provided at cost. A qualified person shall not be denied access to patient information solely because of an inability to pay.

- 5. In the event thet Licensee holds a Drug Enforcement Administration (DEA) certificate for New York State, Licensee shall, within 15 days of the Order's effective date, edvise the DEA, in writing, of the licensure ection end shall surrender Licensee's DEA controlled substance privileges for New York State to the DEA. Licensee shall promptly surrender any unused DEA #222 U.S. Official Order Forms Schedules 1 and 2 for New York State to the DEA. All submissions to the DEA shall be eddressed to Diversion Program Manager, New York Field Division, U.S. Drug Enforcement Administration, 99 Tenth Avenue, New York, NY 10011.
- 6. Within 15 days of the Order's effective date, Licensee shall return any unused New York State official prescription forms to the Bureau of Narcotic Enforcement of the New York State Department of Health. If no other licensee is providing services at Licensee's practice location, Licensee shall properly dispose of ell medications.
- 7. Within 15 days of the Order's effective date, Licensee shell remove from the public domain any representation that Licensee is eligible to practice medicine, including all related signs, edvertisements, professional listings (whether in telephone directories, internet or otherwise), professional stationery or billings. Licensee shall not share, occupy, or use office space in which another licensee provides health care services.
- 8. Licensee shall not charge, receive or share any fee or distribution of dividends for professional services rendered by Licensee or others while Licensee is berred from engaging in the practice of medicine. Licensee may be companseted for the reasonable value of services lawfully rendered, and disbursements incurred on a patient's behalf, prior to the Order's effective date.
- 9. If Licensee is a shareholder in any professional service corporation organized to engage in the practice of medicine, Licensee shall divest all financial interest in the professional services corporation, in accordance with New York Business Corporation Law. Such divestiture shall occur within 90 days. If Licensee is the sole shareholder in a professional services corporation, the corporation must be dissolved or sold within 90 days of the Order's effective date.
- 10. Failure to comply with the above directives may result in a civil penalty or criminal penalties es may be authorized by governing law. Under N.Y. Educ. Law § 6512, it is e Class E Felony, punishable by imprisonment for up to 4 years, to practice the profession of medicine when a professional license has been suspended, revoked or annulled. Such punishment is in addition to the penalties for professional misconduct set forth in N.Y. Pub. Health Law § 230-a, which include fines of up to \$10,000 for each specification of charges

of which the Licensee is found guilty, and may include revocation of a suspended license.